

APPENDIX 1

CLOSED AND COMPLETED AUDITS AS AT 31/12/2022

Table A Closed Audits (ie Final agreed report issued and all recommendations agreed)

Title	Audit Type	Audit status	Audit Opinion	Directorate
TH1321 - GUH Traffic Sign Review	Contract Audit	Audit Closed	Compliant	Communities
4708 - Caerphilly Leisure Centre Replacement of Water Tanks	Contract Audit	Audit Closed	Compliant	Communities
5028 Cefn Hengoed Community Centre	Contract Audit	Audit Closed	Compliant	Communities
4915 - Cefn Forest Juniors Boiler Replacement	Contract Audit	Audit Closed	Compliant	Communities
4742 - Blackwood Primary School, Boiler Replacement	Contract Audit	Audit Closed	Compliant	Communities
4939 - Panside Primary School Toilet Refurb Final Account	Contract Audit	Audit Closed	Compliant	Communities
4746 - Trinity Fields Boiler Replacement	Contract Audit	Audit Closed	Compliant	Communities
5003 - Newbridge School, External Works	Contract Audit	Audit Closed	Compliant	Communities
4727 - Ty Isaf Children's Home Refurbishment	Contract Audit	Audit Closed	Compliant	Communities
5177 Rhiw Syr Dafydd WC refurbishment	Contract Audit	Audit Closed	Compliant	Communities
4912 - Ty Sign Primary Curtain Walling Works (Phase 2)	Contract Audit	Audit Closed	Compliant	Communities
5019 Coed-y-Brain Primary Roofing Works	Contract Audit	Audit Closed	Compliant	Communities

5029 - Bedwas Comp. - Ph 2 Fire Precaution Works	Contract Audit	Audit Closed	Compliant	Communities
4841 Cefn Fforest Primary - Roof Replacement Works	Contract Audit	Audit Closed	Compliant	Communities
4962 Rhiw Syr Dafydd Primary - Replacement Boilers	Contract Audit	Audit Closed	Compliant	Communities
5038 St Martin's School Boiler Plant	Contract Audit	Audit Closed	Compliant	Communities
4863 Trinity Fields School - Building Contract	Contract Audit	Audit Closed	Compliant	Communities
4921 Ysgol Ifor Bach - Damp Proofing Classroom Floors	Contract Audit	Audit Closed	Compliant	Communities
COVID-19 Business Support Grants (Not NDR)	System Audit	Audit Closed	Effective	Communities
5095 Twyn Primary - Window Refurbishment Works	Contract Audit	Audit Closed	Effective	Communities
CCTV Control Room (Public Open Spaces)	System Audit	Audit Closed	Effective with opportunity to improve	Communities
4964 St Martins Comp Boiler Replacement Final Account	Contract Audit	Audit Closed	Substantially compliant	Communities
5030 Demolition of Specsavers Final account	Contract Audit	Audit Closed	Substantially compliant	Communities
4874 Ystrad Mynach Primary Boiler Replacement Final Account	Contract Audit	Audit Closed	Substantially compliant	Communities
5014 St Martin's School	Contract Audit	Audit Closed	Partially compliant	Communities
Ysgol Gymraeg Cwm Gwyddon	SAQ Control Risk Self-Assessment	Audit Closed	Effective	Education and Corporate Services
Tynewydd Primary	SAQ Control Risk Self-Assessment	Audit Closed	Effective	Education and Corporate Services

St Gwladys Primary	SAQ Control Risk Self-Assessment	Audit Closed	Effective	Education and Corporate Services
Hendre Infants	SAQ Control Risk Self-Assessment	Audit Closed	Effective	Education and Corporate Services
Libanus Primary School	SAQ Control Risk Self-Assessment	Audit Closed	Effective	Education and Corporate Services
NFI match 002 HB to Student loans	Regularity	Audit Closed	Effective	Education and Corporate Services
Payroll related NFI data matches 66, 67.1, 67.2, 68, 68.1, and 78	Regularity	Audit Closed	Effective	Education and Corporate Services
Blue badge NFI matches 170, 170.1, 172.1, 172.3, 172.7, 172.8, 172.9	Regularity	Audit Closed	Effective	Education and Corporate Services
NFI match report 67.1	Regularity	Audit Closed	Effective	Education and Corporate Services
NFI Data match 68.1	Regularity	Audit Closed	Effective	Education and Corporate Services
NFI Data match report 120	Regularity	Audit Closed	Effective	Education and Corporate Services
NFI data match 156	Regularity	Audit Closed	Effective	Education and Corporate Services
NFI Data match 133	Regularity	Audit Closed	Effective	Education and Corporate Services
Petty Cash SAQ Newbridge Library	SAQ Control Risk Self-Assessment	Audit Closed	Effective	Education and Corporate Services
Wauanfawr Primary	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to Improve	Education and Corporate Services
The Twyn Primary	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to Improve	Education and Corporate Services
Ysgol Y Castell	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to Improve	Education and Corporate Services
Coed Y Brain Primary	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to Improve	Education and Corporate Services
Ysgol Gymraeg Gilfach Fargoed	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to Improve	Education and Corporate Services
Ysgol Gymraeg Trelyn	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to Improve	Education and Corporate Services

Ysgol Bro Sannan	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to Improve	Education and Corporate Services
Aberbargoed Primary School	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to improve	Education and Corporate Services
Hendredenny Park Primary School	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to improve	Education and Corporate Services
Ysgol Y Lawnt	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to improve	Education and Corporate Services
Pontllanfraith Primary School	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to improve	Education and Corporate Services
Bedwas High School	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to improve	Education and Corporate Services
NFI Data match 131	Regularity	Audit Closed	Effective with opportunity to improve	Education and Corporate Services
Risca Primary	SAQ Control Risk Self-Assessment	Audit Closed	Effective with opportunity to improve	Education and Corporate Services
Phillipstown Primary Consultation	Advisory Review	Audit Closed	Effective with opportunity to improve	Education and Corporate Services
Ysgol Gymraeg Bro Allta Advisory Review	Advisory Review	Audit Closed	Effective with opportunity to improve	Education and Corporate Services
Upper Rhymney Primary	SAQ Control Risk Self-Assessment	Audit Closed	In need of Improvement	Education and Corporate Services
Graig Y Rhacca Primary	SAQ Control Risk Self-Assessment	Audit Closed	In need of Improvement	Education and Corporate Services
Ysgol Gymraeg Bro Allta	SAQ Control Risk Self-Assessment	Audit Closed	In need of Improvement	Education and Corporate Services
NFI Council Tenants to HMRC data match 485 (property)	Regularity	Audit Closed	In need of improvement	Education and Corporate Services
NFI CTRS to HMRC data matches 483 (property) and 484 (earnings)	Regularity	Audit Closed	In need of improvement	Education and Corporate Services
Cwrt Rawlin Primary School	SAQ Control Risk Self-Assessment	Audit Closed	In need of improvement	Education and Corporate Services

Islwyn High School	SAQ Control Risk Self-Assessment	Audit Closed	In need of improvement	Education and Corporate Services
Glan-Y-Nant	SAQ Control Risk Self-Assessment	Audit Closed	In need of improvement	Education and Corporate Services
EXT17C - U37C Retaining Walls Scheme Wattsville and Pontlottyn	Contract Audit	Audit Closed	Compliant	Social Services and Housing
TO2109 WHQS External Works, The Crescent, Machen	Contract Audit	Audit Closed	Compliant	Social Services and Housing
Martinsfield - Heat Pumps and Central Heating	Contract Audit	Audit Closed	Compliant	Social Services and Housing
EXT18C-UC33PS Pantycelyn	Contract Audit	Audit Closed	Compliant	Social Services and Housing
Castle Court, Pontywaun Roofing	Contract Audit	Audit Closed	Compliant	Social Services and Housing
Supporting People HSG 2021-2022	Grant certification	Audit Closed	Compliant	Social Services and Housing
Petty Cash SAQ Social Services	SAQ Control Risk Self-Assessment	Audit Closed	Effective	Social Services and Housing
TO1812 - Blocks 3 & 4 Third Avenue, Treceenydd	Contract Audit	Audit Closed	Substantially compliant	Social Services and Housing
TO2322 Rudry Trapwell Caerphilly	Contract Audit	Audit Closed	Non compliant	Social Services and Housing
Lewis Drive Hedlyn EXT17C LC33PS	Contract Audit	Audit Closed	Non compliant	Social Services and Housing

Table B completed Audits (Draft reports issued awaiting agreement of recommendations and final report)

Title	Audit Type	Audit status	Audit Opinion	Directorate
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Petty Cash SAQ Llanciach Fawr	SAQ Control Risk Self- Assessment	Audit Completed	Effective	Communities
NFI 709 - VAT Overpayment	Regularity	Audit Completed	Effective with opportunity to Improve	Education and Corporate Services
NFI purchase ledger creditor detail matches 701, 702, 703 and 707	Regularity	Audit Completed	Effective with opportunity to improve	Education and Corporate Services
Pupil Deprivation Grant	Grant certification	Audit Completed	Effective with opportunity to improve	Education and Corporate Services
Education Improvement Grant	Grant certification	Audit Completed	Effective with opportunity to improve	Education and Corporate Services
Baseline Personnel Security Standards (BPSS)	Regularity	Audit Completed	In need of improvement	Education and Corporate Services
Progress review on GT Finance IT audit	Follow Up Audit	Audit Completed	In need of improvement	Education and Corporate Services
Data Protection Training Records	System Audit	Audit Completed	In need of improvement	Education and Corporate Services