



- 1 HYSBYSIAD O BENDERFYNIADAU'R PWYLLGOR LLYWODRAETHU AC
ARCHWILIO O'R CYFARFOD A GYNHALIWYD AR 20 GORFFENNAF 2021

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CAERPHILLY COUNTY BOROUGH COUNCIL

NOTICE OF THE DECISIONS FROM THE GOVERNANCE AND AUDIT COMMITTEE MEETING HELD VIA MICROSOFT TEAMS ON TUESDAY 20th July 2021 AT 2.00 P.M.

PRESENT:

Councillors: M.A. Adams, E. Aldworth, B. Miles, J. Ridgewell, G. Simmonds, J. Simmonds and N. Yates – Lay Member (Vice-Chair)

Cabinet Member Mrs E. Stenner (Finance, Performance and Customer Service)

Officers: R. Edmunds (Corporate Director), S. Harris (Head of Financial Services & S151 Officer), D. Gronow (Acting Internal Audit Manager), R. Roberts (Business Improvement Manager), A. Southcombe (Finance Manager), R. Barrett (Committee Services Officer), J. Lloyd (Committee Services Officer).

G. Jones (Audit Wales), M. Jones (Audit Wales)

APOLOGIES:

Councillors J. Bevan, Ms J. Gale, D.T. Hardacre, C.P. Mann, Mrs T. Parry, Mrs M.E. Sargent (Chair), L. Donovan (Head of People Services), L. Lane (Head of Democratic Services and Deputy Monitoring Officer) and S. Richards (Head of Education Planning and Strategy)

The decisions and declarations of interest are set out below. For further details please refer to the relevant report.

ITEM	SUBJECT	DECISION	VOTE
2.	Declarations of Interest	There were no declarations received.	N/A
3.	Minutes of the Governance and Audit Committee held on 8 th June 2021.	It was moved and seconded that the minutes be approved as a correct record and by way of Microsoft Forms and verbal confirmation this was unanimously agreed. RESOLVED that the minutes of the meeting be approved as a correct record.	6 For 0 Against 1 Abstention
4.	Governance and Audit Committee Forward Work Programme.	Subject to the addition of an item for the October meeting (Review of the Risk Management Strategy) being agreed, it was moved and seconded that the forward work programme be approved and by way of Microsoft Forms and verbal communication this was unanimously agreed. RESOLVED that the Forward Work Programme be approved.	Unanimous
5..	Corporate Risk Register (Q4 20/21 Year End).	RESOLVED that the content of the Corporate Risk Register and associated mitigating factors be noted and agreed that updates are also provided to the Committee which has	Unanimous

		the role of reviewing and challenging the Risk Register and where relevant, and any resultant action plans for the Council's key strategic or corporate risks.	
6.	Regulator Proposals for Improvement Progress Update.	<p>RESOLVED that for the reasons given in the Officers report, the recommendations contained there be approved.</p> <p>i) The 23 proposals and recommendations be closed down as completed and encourage members to view the specific proposals attached within Appendix A and judge if they agree that these are now complete. It is recommended that Governance and Audit Committee give their agreement (if appropriate) to close the proposals that are noted as 'completed' within Appendix A.</p> <p>ii) It is also recommended that the Committee notes the current position regarding recommendations made in the 2019/20 Audit Wales Audit of Accounts Report for Caerphilly CBC.</p>	Unanimous
7.	Audit Wales Financial Sustainability Assessment – Caerphilly County Borough Council.	RESOLVED that the content of the Audit Wales report be considered and to note that the Audit Wales proposals for improvement have been accepted by Officers and will be addressed as part of the Sustainable Financial Planning Corporate Review.	N/A.
8.	Delivering Good Governance Review – Caerphilly CBC.	RESOLVED that the contents of the Audit Wales Delivering Good Governance Review and the action plan agreed by Cabinet at its meeting on 23 rd June 2021, be noted.	N/A

Circulation:

Published Electronically by 5.00pm on Tuesday 27th July 2021.