

Penallta House,  
Tredomen Park,  
Ystrad Mynach,  
Hengoed CF82 7PG

Ty Penallta,  
Parc Tredomen,  
Ystrad Mynach,  
Hengoed CF82 7PG



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For all enquiries relating to this agenda please contact Julie Lloyd  
(Tel: 01443 864246 Email: [lloydj4@caerphilly.gov.uk](mailto:lloydj4@caerphilly.gov.uk))

**Date: 14th July 2021**

Dear Sir/Madam,

A digital meeting of the **Governance and Audit Committee** will be held via Microsoft Teams on **Tuesday, 20th July, 2021 at 2.00 pm** to consider the matters contained in the following agenda. You are welcome to use Welsh at the meeting, a minimum notice period of 3 working days is required should you wish to do so.

This meeting will be recorded and made available to view via the Council's website, except for discussions involving confidential or exempt items. Therefore the images/audio of those individuals speaking will be publicly available to all via the recording on the Council website at [www.caerphilly.gov.uk](http://www.caerphilly.gov.uk)

Yours faithfully,

A handwritten signature in black ink, appearing to read 'Christina Harrhy'.

**Christina Harrhy**  
CHIEF EXECUTIVE

## AGENDA

	Pages
1 To receive apologies for absence.	
2 Declarations of Interest.	

Councillors and Officers are reminded of their personal responsibility to declare any personal and/or prejudicial interest(s) in respect of any item of business on this agenda in accordance with the Local Government Act 2000, the Council's Constitution and the Code of Conduct for both Councillors and Officers.

**A greener place Man gwyrdach**



To approve and sign the following minutes: -

- |   |   |       |
|---|---|-------|
| 3 | Governance and Audit Committee held on 8th June 2021. | 1 - 8 |
|---|---|-------|

To receive and consider the following reports: -

- |   |  |          |
|---|--|----------|
| 4 | Governance and Audit Committee Forward Work Programme.                               | 9 - 12   |
| 5 | Corporate Risk Register (Q4 20/21 Year End).   | 13 - 22  |
| 6 | Regulator Proposals for Improvement Progress Update.                                 | 23 - 36  |
| 7 | Audit Wales Financial Sustainability Assessment - Caerphilly County Borough Council. | 37 - 58  |
| 8 | Delivering Good Governance Review: Caerphilly CBC.                                   | 59 - 100 |

**Circulation:**

Councillors M.A. Adams, Mrs E.M. Aldworth, J. Bevan, Ms J. Gale, D.T. Hardacre, C.P. Mann, B. Miles, Mrs T. Parry, Mrs M.E. Sargent (Chair), J. Ridgewell, G. Simmonds and J. Simmonds

Lay Member: Mr N.D. Yates

And Appropriate Officers

**HOW WE WILL USE YOUR INFORMATION**

Those individuals that attend committee meetings to speak/give evidence will be named in the minutes of that meeting, sometimes this will include their place of employment or business and opinions expressed. Minutes of Meetings including details of speakers will be publicly available to all via the Council website at [www.caerphilly.gov.uk](http://www.caerphilly.gov.uk). except for discussions involving confidential or exempt items.

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